Docket Number: ENP-067

I THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicato Guoyou Xu

Art Unit : TBD

Serial No.:

10/758,409

Examiner: TBD

Filed

: January 14, 2004

Title

Processes for the Preparation of 6-11 Bicyclic Erythromycin Derivatives

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

In addition to those references cited in the USPTO-1449 form (2 pages) submitted herewith, Applicants would like to bring to the Examiner's attention co-pending, commonly-assigned patent application numbers:

- 1. 10/436,622 (filed 5/13/2003);
- 2. 10/429,485 (filed 5/5/2003);
- 3. 10/205,357 (filed 7/25/2002);
- 4. 10/205,018 (filed 7/25/2002);
- 5. 10/464,188 (filed 6/18/2003); and
- 6. 10/668,688 (filed 9/23/2003).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Alexandria, VA 27513.

Keg. No 52,887

4/2

Date of Depor

Signature

Typed or Printed Name of Person Signing Certificate

Docket Number: ENP-067

Applicant : Guoyou Xu Serial Number : 10/758,409

Filed: January 14, 2004

Page : 2

No fee is believed to be due. In the event that a fee is due please charge Enanta Pharmaceuticals, Inc., Deposit Account No. 50-2010, referencing Attorney Docket No. ENP-067.

Respectfully submitted,

Date:

Jason D. Ferrone Reg. No. 52,887

Enanta Pharmaceuticals, Inc.

Attn: Patent Dept. 500 Arsenal Street Watertown, MA 02472

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperws Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction
ADEMP
Substitute for form 1449/PTO

APR 2 6 2004

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/758,409			
Filing Date	Jan. 14, 2004	•		
First Named Inventor	Guoyou Xu			
Art Unit	TBD			
Examiner Name	TBD			
Attorney Docket Number	FNP-067			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	^{US-} 4,990,602	02-05-1991	Morimoto et al.	
	AB	^{US-} 5,403,923	04-04-1995	Kashimura et al.	
	AC	^{US-} 5,444,051	08-22-1995	Agouridas et al.	
	AD	^{US-} 5,527,780	06-18-1996	Agouridas et al.	
	ΑE	^{US-} 5,631,355	05-20-1997	Asaka et al.	
	AF	^{US-} 5,866,549	02-02-1999	Or et al.	
	AG	^{US-} 5,969,161	10-19-1999	Bonnet et al.	
	AH	^{US-} 6,046,171	04-04-2000	Or et al.	
	Al	^{US-} 6,124,269	09-26-2000	Phan et al.	
	AJ	^{US-} 6,399,582	06-04-2002	Hlasta et al.	
	AK	^{US-} 6,645,941	11-11-2003	Wang et al.	
		US-			

Examiner	Cita		IGN PATENT DOCU	Name of Patentee or	Pages, Columns, Lines,	$\overline{}$
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Applicant of Cited Document	Where Relevant Passages	
muuis	110.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Orea Bossmon	Or Relevant Figures Appear	Т
	ВА	WO 01/14397	03-01-2001	Abbott		
	ВВ	WO 03/042228	05-22-2003	Pliva		
	BC	WO 01/00582	01-04-2001	Abbott		L
	BD	WO 00/78773	12-28-2000	Abbott		
	BE	WO 01/77134	10-18-2001	Abbott		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Therefore that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	or form Hapit TO	<u>acaon y</u>	stor roos, no persons ar	e required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known			
Substitute it	0/10/11/1 44 9/F10			Application Number	10/758,409		
INFO	RMATION	DIS	CLOSURE	Filing Date	Jan. 14, 2004		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Guoyou XU		
	///	_4		Art Unit	TBD		
(Use as many sheets as necessary)			ecessary)	Examiner Name	TBD		
Sheet 2		of	2	Attorney Docket Number	ENP-067		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	COREY, E.J., KIM C.U., A New and Highly Effective Method for the Oxidation of Primary and Secondary Alcohols to Carbonyl Compounds, Journal of the American Chemical Society, 1972, 94:21, 7586-7587.	
		,.	
Evenine		I Data	
Examiner		Date Considered	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.